### **M.COM**

#### **SEMESTER-III**

## (MCMM22301T): MANAGEMENT OF FINANCIAL SERVICES

MAX. MARKS: 100 EXTERNAL: 70 INTERNAL: 30 PASS: 40% Credits:4

**Objective:** This course aims at acquainting the participants with the operations, functions and management of banking and insurance sector. It will enable the students to know more about emerging trends in banking and insurance sector.

#### **INSTRUCTIONS FOR THE PAPER SETTER/EXAMINER:**

- 1. The syllabus prescribed should be strictly adhered to.
- 2. The question paper will consist of three sections: A, B, and C. Sections A and B will have four questions from the respective sections of the syllabus and will carry 10 marks each. The candidates will attempt two questions from each section.
- 3. Section C will have fifteen short answer questions covering the entire syllabus. Each question will carry 3 marks. Candidates will attempt any ten questions from this section.
- 4. The examiner shall give a clear instruction to the candidates to attempt questions only at one place and only once. Second or subsequent attempts, unless the earlier ones have been crossed out, shall not be evaluated.
- 5. The duration of each paper will be three hours.

## **INSTRUCTIONS FOR THE CANDIDATES:**

Candidates are required to attempt any two questions each from the sections A and B of the question paper and any ten short questions from Section C. They have to attempt questions only at one place and only once. Second or subsequent attempts, unless the earlier ones have been crossed out, shall not be evaluated.

#### **SECTION-A**

#### **Financial System, Markets and Services**

**Financial System** 

**Financial Markets and Institutions** 

Financial services: An introduction Management of Risk in Financial Services Regulatory Framework

# Financial Market Operations & Services

Stock Exchanges: Functions and Organisation Broking and Trading in Equity Broking and Trading in Debt Instruments Depositories

Fee Based Services I Issue Management Corporate Advisory Services

#### **SECTION B**

Fee Based Services II Credit Rating Mutual Funds Asset Securitization

#### Fund Based Services

Leasing and Hire Purchase Housing Finance Credit Cards Venture Capital Factoring, Forfaiting and Bill Discounting **Insurance Services** Life Insurance Non-Life Insurance Insurance Broking Services **Suggested Readings:** 

- 1. Indian Financial System by H.R. Machiraju, Vikas Publishing House Pvt. Ltd.
- 2. Indian Financial System by Varshney & Mittal, Sultan Chand & Sons.
- 3. Management of Indian Financial Institutions by R.M.Srivastava Himalya Publishing

House.

- 4. Managing Indian Banks by Vasant C. Joshi, Sage Publications.
- 5. Indian Financial System by Vasant Desai, Himalya Publising House.
- 6. Financial Institutions & Markets by L.M.Bhole.
- 7. M.Y. Khan, Financial Services, Tata McGraw Hills, New Delhi,

